



PATENT

Docket No. 1006.F-5489 CIP 1

Box Patent Application

Commissioner of Patents and Trademarks

Washington, D.C. 20231

COPY OF PAPERS
ORIGINALLY FILED

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor:

Kelly Smith; Mark Vandlik; Michael Kast

WARNING: Patent must be applied for in the name(s) of all of the actual inventor(s). 37 CFR 1.41(a) and 1.53(b).

For (title): **Blood Processing Systems and Methods that Alternate Flow of Blood
Component and Additive Solution Through an In-Line Leukofilter**

1. Type of Application

This new application is for a(n) (check one applicable item below):

- ☐ Original
- ☐ Design
- ☐ Plant

WARNING: Do not use this transmittal for a completion in the U.S. of an International Application under 35 U.S.C. 371(c)(4) unless the International Application is being filed as a divisional, continuation or continuation-in-part application.

NOTE: If one of the following 3 items apply then complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED and a NOTIFICATION IN PARENT APPLICATION OF THE FILING OF THIS CONTINUATION APPLICATION.

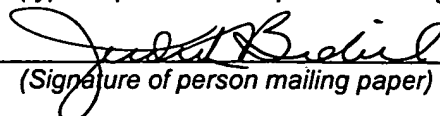
- ☐ Divisional
- ☐ Continuation
- ☒ Continuation-in-part (CIP)

CERTIFICATION UNDER 37 CFR 1.10

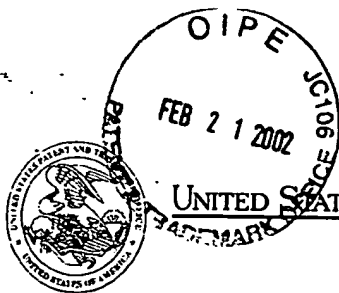
I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date 13 October 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL88914709405 addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Judith Biebel

(type or print name of person mailing paper)


(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 CFR 1.10(b).



COPY OF PERS
ORIGINAL FILED

Page 1 of 1

776

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,832	10/12/2001	Kelly Smith	1006.F-5489 CIP 1

CONFIRMATION NO. 2307

FORMALITIES LETTER



OC000000007024358

RYAN KROMHOLZ & MANION, S.C.
Post Office Box 26618
Milwaukee, WI 53226-0618

Date Mailed: 11/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12.01.2002 RECEIVED 000002 10576832

12.01.2002
12.01.2002

740.00 00
130.00 00



COPY OF PAPERS
ORIGINALLY FILED

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: < K. Smith et al. Examiner: Unknown
Serial No. : 09/976,832 Group Art Unit: Unknown
Filed : 13 October 2001
For : Blood Separation Systems and Methods that Alternate Flow of Blood
Component and Additive Solution Through an In-Line Leukofilter

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Sir:

This Request for Corrected Filing Receipt accompanies applicants response to the Notice to File Missing Parts.

The above application was filed by Express Mail on 13 October 2001. Attached is a photocopy of the first sheet of the application transmittal letter which contains a Certificate of Express Mailing, executed on 13 October 2001. Also enclosed is a photocopy of the Express Mail Airbill, which has been date-stamped by the United States Postal Service as being accepted on 13 October 2001. Finally enclosed is a photocopy of the PTO acknowledgment post card, which bears a filing date of 13 October 2001.

The Filing Receipt (copy attached) indicates the application was filed on 12 October 2001.

Please issue a new filing receipt which bears the correct filing date of 13 October 2001.

Respectfully submitted,

By

Daniel D. Ryan, Reg. No. 29,243

RYAN KROMHOLZ & MANION, S.C.
PO BOX 26618
MILWAUKEE, WISCONSIN 53226

I hereby certify that this correspondence
being deposited with the United States Postal
Service as first class mail in an envelope addressed
to: Commissioner of Patents and Trademarks,
Washington, D.C., 20231, on 28 January 2002
By
Date 1/28/02



UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS
ORIGINALLY FILEDCOMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/976,832	10/12/2001	3762	0.00	1006.F-5489 CIP 1	24	17	2

10/13/2001

RYAN KROMHOLZ & MANION, S.C.
Post Office Box 26618
Milwaukee, WI 53226-0618

CONFIRMATION NO. 2307

FILING RECEIPT



OC000000007024357

Date Mailed: 11/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kelly Smith, Residence Not Provided;
Mark Vandlik, Residence Not Provided;
Michael Kast, Residence Not Provided;

Assignment For Published Patent Application

Baxter International Inc.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/389,504 09/03/1999

Foreign Applications

If Required, Foreign Filing License Granted 11/02/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

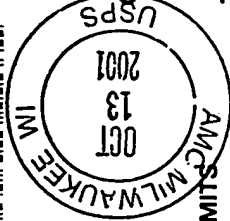
Non-Publication Request: No

Early Publication Request: No

Title

Blood processing systems and methods that alternate flow of blood component and additive

COPY OF PAPERS
ORIGINALLY FILED



Customer Copy
Label 11-F August 2000

EXPRESS MAIL
UNITED STATES POSTAL SERVICE®

**POST OFFICE
TO ADDRESSEE**

ORIGIN (POSTAL USE ONLY)		Flat Rate Envelope <input type="checkbox"/>	
PO ZIP Code 53227	Day of Delivery <input checked="" type="checkbox"/> Next <input type="checkbox"/> Second	Postage \$ 16.38	
Date In Mo Day Year 10 13 2001	<input type="checkbox"/> 12 Noon <input checked="" type="checkbox"/> 3 PM Military	Return Receipt Fee	
Time In Mo Day Year 10 13 2001	<input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day INTL Alpha Country Code	COD Fee	
Weight LBS OZS 1.1 0	<input type="checkbox"/> AM <input checked="" type="checkbox"/> PM	Insurance Fee	
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials C.S.	Total Postage & Fees \$ 16.38	

CUSTOMER USE ONLY	
Method of Payment Express Mail Corporate Acct. No. 10/13/2001	Postage Agency Acct. No. or Postal Service Acct. No. 202 783 1300
TO: (PLEASE PRINT) PHONE ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON DC 20231-0001	

☐ **WAIVER OF SIGNATURE** (Domestic Only). Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.

☐ **NO DELIVERY** ☐ Weekend ☐ Holiday

Customer Signature

Box Pat Appln

WWW.USPS.COM

FOR PICKUP OR TRACKING CALL 1-800-222-1811



COPY OF PAPER
ORIGINALLY FILED

Continuation-in-Part application of Kelly Smith Mark
Vandlik and Michael Kast entitled Blood Process & Systems and
Methods that Alternate Flow of Blood Component and Additive
Solution Through an In-Line Leukofilter consisting of: trans-
mittal letter with added pages for cip data; 41 pages
specification; 3 pages claims; 1 page abstract and 24 sheets
of informal drawings (Combined Declaration/Power of Attorney,
Assignment and filing fee will follow).

Sent by Express Mail Label No. EL 889147094US on
13 October 2001.

F-5489 CIP 1

